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PTO/SB/21 (modified)

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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

0001/PTO Rev. 10/95 TRANSMITTAL FORM (to be used for all correspondence during pendency of filed application)	U.S. Department of Commerce Patent and Trademark Office	Application Number	10/054,477	
		Filing Date	01/18/2002	
		First Named Inventor	Michael C. Stewart	
		Group Art Unit Number	Unassigned	
		Examiner Name	Unassigned	
Total Number of Pages in This Submission		5*	Attorney Docket Number	80121-05730

ENCLOSURES (check all that apply)	
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REMARKS:

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SIGNATURE OF ATTORNEY OR AGENT			
Signature:			
Attorney/Reg. No.:	Albert C. Smith, Reg. No. 20,355	Dated:	3/25/02

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I hereby certify that this correspondence, including the enclosures identified above, is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on the date shown below. If the Express Mail Mailing Number is filled in below, then this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service pursuant to 37 CFR 1.10.			
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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Michael C. Stewart et al.
APPLICATION NO.: 10/054,477
FILING DATE: January 18, 2002
TITLE: Vessel Harvesting Apparatus And Method
EXAMINER: Unassigned
GROUP ART UNIT: Unassigned
ATTY. DKT. NO.: 80121-05730

CERTIFICATE OF MAILING

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Dated: 3/25/02

By: A.C. Smith
Albert C. Smith, Reg. No.: 20,355

COMMISSIONER FOR PATENTS
WASHINGTON, DC. 20231

INFORMATION DISCLOSURE STATEMENT
Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO-1449 listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ attached hereto is the fee set forth under 37 CFR § 1.17(p) for submission of this Information Disclosure Statement under 37 CFR § 1.97(c); OR
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
 - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
 - ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; or
 - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a

foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ attached hereto is the fee set forth under 37 CFR §1.17(p) for submission of this Information Disclosure Statement under 37 CFR. § 1.97(c); OR
- ☐ after the payment of the issue fee. Applicant request that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☐ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. [APPLICATION NUMBER], filed on [FILING DATE], and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

Consideration of the listed references and favorable action are solicited.

Respectfully submitted,
MICHAEL C. STEWART ET AL.

Dated: _____

3/25/02

By: _____

A.C. Smith

Albert C. Smith, Reg. No.: 20,355
Fenwick & West LLP
Two Palo Alto Square
Palo Alto, CA 94306
Tel.: (650) 858-7296
Fax.: (650) 494-1417

FORM PTO-1449

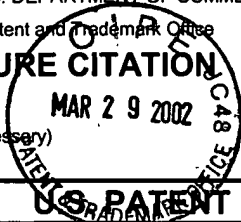
(REV. 6-89)

U.S. DEPARTMENT OF COMMERCE

Patent and Trademark Office

INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)



Attorney's Docket No.

80121-05730

Serial No.

10/054,477

Applicant

Michael C. Stewart, et al.

Filing Date

01/18/2002

Group Art Unit

Unassigned

U.S. PATENT DOCUMENTS

Examiner Initial	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	5,944,732	8/31/99	Raulerson et al.	606	167	8/27/97
	5,873,889	2/23/99	Chin	606	190	11/19/97
	5,860,997	1/19/99	Bonutti	606	190	6/5/95
	5,827,318	10/27/98	Bonutti	606	190	5/27/97
	5,738,628	4/14/98	Sierocuk et al.	600	104	3/24/95
	5,720,763	2/24/98	Tovey	606	198	5/4/95
	5,716,325	2/10/98	Bonutti	600	204	8/1/94
	5,707,390	1/13/98	Bonutti	606	204	6/5/95
	5,702,417	12/30/97	Hermann	606	194	5/22/95
	5,685,826	11/11/97	Bonutti	600	204	11/2/94
	5,667,520	9/16/97	Bonutti	606	190	8/29/94
	5,653,726	8/5/97	Kieturakis	606	190	5/13/96
	5,607,443	3/4/97	Kieturakis et al.	606	192	6/29/94
	5,607,441	3/4/97	Sierocuk et al.	606	190	3/24/95
	5,601,589	2/11/97	Fogarty et al.	606	192	6/29/94
	5,593,418	1/4/97	Mollenauer	606	192	5/19/95
	5,540,711	7/30/96	Kieturakis et al.	606	192	3/10/95
	5,514,153	5/7/96	Bonutti	606	190	2/14/94
	5,496,345	3/5/96	Kieturakis et al.	606	192	10/17/94
	5,454,365	10/3/95	Bonutti	600	204	8/27/93
	5,441,044	8/15/95	Tovey et al.	600	234	8/16/93
	5,346,504	9/13/94	Ortiz et al.	606	192	11/19/92
	5,295,994	3/22/94	Bonutti	606	192	11/15/91

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered.
 Include copy of this form with next communication to applicant.